



Texas 403(b) & 403(b)(7) Grandfathered Accounts Form

In accordance with 34 TAC Section 53.2(c) which states:

"A company that entered into a contract with an employee before June 1, 2002, is not subject to the certification requirements established by this chapter with respect to that contract, but the company is subject to the certification requirements established by this chapter with respect to any contracts or qualified investment products offered to, or entered into with, an employee on or after June 1, 2002"; and

Section 53.2 (d) which states "If a company has entered into a contract with an employee before June 1, 2002, the company or employee may demonstrate in a manner acceptable to an educational institution that the provisions of this chapter do not apply to the contract in order for the company to receive contributions to, or payments for purchase of, the qualified investment product described in the contract through a salary reduction agreement between the educational institution and the employee."

By signing below, I testify that the company named on the attached Salary Reduction Agreement was established prior to June 1, 2002.

School District

Grandfathered Company Name

Participant's Name (Print)

Representative's Name (Print)

Participant's Signature

Representative's Signature

Social Security Number

Date